PHILIP D. MURPHY
Governor
SHEILA Y. OLIVER

Lt. Governor

OFFICE OF EMERGENCY MEDICAL SERVICES PO BOX 360 TRENTON, N.J. 08625-0360

www.nj.gov/health

JUDITH M. PERSICHILLI, RN, BSN, MA Acting Commissioner

January 7, 2020

David Alcott III

Re: Notice of Proposed Revocation – EMT Audit

EMT # 621400

Investigation Control # 2019-0180R

Dear Mr. Alcott III:

The New Jersey Department of Health (the Department) is vested with the responsibility of carrying out the provisions of the Health Care Facilities Planning Act, N.J.S.A. 26:2H-1 et seq., which was enacted, in part, to ensure that all hospital and related health care services rendered in the State of New Jersey are of the highest quality. As defined at N.J.S.A. 26:2H-2b, health care services include any mobile healthcare rendered by Basic Life Support (BLS) personnel. In addition, the Emergency Medical Services Act, N.J.S.A. 26:2K-7 et seq., authorizes the Department to certify Emergency Medical Technicians (EMTs). In furtherance of the objectives set forth in the statutes, the Department has adopted regulations that govern the training, certification and professional conduct of EMTs and EMT candidates. See N.J.A.C. 8:40A-1.1 et seq.

On October 19, 2018, you accessed the Department's Office of Emergency Medical Services (OEMS) Certification Platform through the internet at www.njems.us, for the purpose of recertifying your EMT certification. On your EMT recertification application, you were presented with the following affirmation statement:

I affirm that all of the above information is true and correct. I understand that any misrepresentation of fact may be grounds to deny or revoke my NJ EMS certification/endorsement/license. In addition, I hereby certify that I have successfully completed the recertification requirements as stated in the current New Jersey EMS Regulations. I understand that my recertification/re-endorsement documentation may be subject to a random audit by the Office of Emergency Medical Services, and if it is determined that I have falsely reported my legal status, the existence of a criminal background, information concerning any certification, endorsement or licensure status granted by any and/or all jurisdictions at any time, and/or recertification/re-endorsement documentation as outlined in NJ regulation, my EMS certification/endorsement may be revoked. NOTE: ONLY NJ-OEMS APPROVED COURSES MAY BE USED FOR EMT RECERTIFICATION.

You checked "I agree" next to that statement, creating a time and date stamp in the electronic record. By checking "I agree", you were permitted to submit your recertification application to OEMS.

After receiving your recertification application, OEMS recertified your EMT credential for three (3) years. Specifically, OEMS issued you an EMT certification card on October 19, 2018, with a new expiration date of December 31, 2021.

On May 14, 2019, you were sent an email notification informing you that your EMT recertification was randomly selected for an audit to confirm that you met the criteria for recertification set forth at N.J.A.C. 8:40A-7.5. The email address utilized for this notification was verified by you on October 19, 2018 as part of the recertification process through your www.njems.us profile. In this email, you were informed that you were selected to participate in a randomized audit, and you were provided detailed instructions on how to complete the audit process. The email gave you fifteen (15) business days to submit the required documentation to OEMS verifying that you met the requirements for EMT recertification.

On May 28, 2019, you provided the investigator with a hand typed transcript of your classes via e-mail. An OEMS investigator reviewed your submission and replied to your e-mail on May 29, 2019. The investigator explained to you that your submission of an unofficial transcript, typed by you, was unacceptable as proof of CEU completion. The OEMS investigator asked you to submit proof of attendance in the CEU classes that you listed on your personally typed transcript.

On May 30, 2019, you telephoned OEMS and spoke with an investigator. You asked the investigator for further explanation. The investigator further advised you that you needed to show proof of attendance in the classes that you had listed on your transcript. You were advised that proof of attendance could be provided via certificate of completion or sign in/out sheets directly from the class coordinator.

After fifteen (15) business days, the Department did not receive any correspondence or verbal acknowledgement from you of your attempted compliance with the audit process. As a result, a second notification was sent to you on June 20, 2019, which provided you with an additional five (5) business days to provide OEMS with documentation that you met all requirements to recertify your EMT credential. The correspondence was sent to you via email, United States Postal Service (USPS) First Class Mail and USPS Certified Mail. The USPS reported a notice of certified mail was received at your residence on June 28, 2019. The parcel sent USPS First Class mail was not returned to OEMS as undeliverable.

By July 12, 2019 you did not comply with OEMS's audit request. As a result, an OEMS investigator telephoned you on July 12, 2019 at 12:55 p.m. and left a voicemail requesting a return phone call and compliance with the audit by July 19, 2019.

As of July 22, 2019, you had not complied with OEMS's audit request. As a result, an OEMS investigator telephoned you on July 22, 2019 at 1:44 p.m. You told the investigator that you would attempt to complete the audit process by Friday July 26, 2019 and if you were unable to, you would let the process take its course. Furthermore, you advised the investigator that you did complete the required CEUs but were unable to provide proof.

To date, you have not responded to the Department's audit request, which is viewed as a refusal to cooperate with its audit.

Because you failed to respond to OEMS's repeated audit requests, OEMS opened an investigation to determine whether you qualified for EMT recertification. Pursuant to N.J.A.C. 8:40A-7.5(a), in order for an EMT to gain recertification, he or she must:

- 1. Possess EMT and CPR certifications; and
- 2. Successfully complete approved continuing education units, consisting of 24 core credit hours and 24 elective credit hours.

From its investigation, OEMS was unable to establish that you successfully completed 24 elective credit hours at the time of your recertification. As such, OEMS finds you failed to meet the requirements for EMT recertification, even though you affirmed that you had "successfully completed the recertification requirements" set forth in OEMS's rules at the time you applied for recertification.

OEMS also finds that you made efforts to avoid having OEMS detect your lack of 24 elective continuing education credit hours by refusing to work with OEMS, despite attempts by the investigators to gain your cooperation. Accordingly, the Department finds that you fraudulently procured your EMT certification by attesting that you were in compliance with OEMS's EMT recertification requirements when, in fact, you were not.

N.J.A.C. 8:40A-10.2(b) states, "[t]he Commissioner, or his or her designee, may issue a formal written warning, impose a monetary penalty, place on probation, suspend, revoke and/or refuse to issue or renew the certification of any EMT-Basic or EMT-Instructor for violation of any of the rules set forth in this chapter. This includes, but is not limited to...

- 2. Deceptive or fraudulent procurement of certification or recertification credentials and/or documentation;
- 17. Willful obstruction of any investigation and/or representative of the Department or other agency empowered to enforce the provisions of this chapter or any applicable law, rule and/or regulation;
- 21. Making material misrepresentation or providing false information on the NREMT certification examination and/or an application for EMT, EMT-Instructor or EMT-Paramedic certification or recertification:
- 22. Failure to complete continuing education and performance standards as required by this chapter;
- 24. Any other action deemed by the Department to pose a threat to the public health, safety or welfare."

Based on the foregoing, the Department has determined that your EMT certification must be **revoked**. You not only failed to have the necessary prerequisites for EMT recertification, but you also submitted a false attestation to OEMS regarding your recertification qualifications and intentionally ignored OEMS's demands for an audit of your qualifications to avoid having your lack of recertification qualifications detected. Without question, an EMT who lacks continuing education poses a significant threat to emergency medical patients in need of

care. Moreover, to be effective, EMTs must exercise good judgement, trust each other and be trusted by police officers, fire fighters, doctors and other professionals. Your actions here demonstrate poor judgment and lack of trustworthiness, which renders you a risk to patients as you lack characteristics that are necessary for the provision of effective emergency medical care. Therefore, as a result of your failure to cooperate with the Department's investigation, it is the intention of this Department to revoke your EMT certification.

In accordance with <u>N.J.A.C.</u> 8:40A-7.1(f), certification cards are the property of the Department, and shall be immediately surrendered to Department staff upon demand. As such, you are hereby directed to immediately surrender your EMT card to OEMS, either by U.S. mail to the address on the first page of this Notice, or by physical delivery to New Jersey Department of Health, Office of Emergency Medical Services, John Fitch Plaza Market & Warren Streets, Trenton, NJ 08625.

Pursuant to N.J.S.A. 52:14B-11 and N.J.A.C. 8:40A-10.3(b), you are entitled to a hearing before the Office of Administrative Law to contest this Department's proposed revocation of your EMT certification. In the event that you request a hearing, this proposed revocation shall be held in abeyance until such time as the hearing has been concluded and a final decision has been rendered. Your request for a hearing on this matter must be submitted in writing and must be accompanied by a response to the charges contained herein. Please include the control number **2019-0180R** on your correspondence, and forward your request to:

New Jersey Department of Health Office of Legal & Regulatory Compliance P.O. Box 360, Room 805 Trenton, NJ 08625-0360 Attn: Ms. Tami Roach

Failure to submit a written request for a hearing within 30 days from the date of this notice shall be interpreted as an acceptance of the Department's decision to revoke your EMT certification, negating any further appeal rights and converting this action into a final agency decision.

If you have any questions concerning this matter, please contact Daniel Kazar at (609) 633-7777.

Sincerely,

Scot Phelps, JD, MPH, Paramedic Director Office of Emergency Medical Services

c: Christopher Neuwirth, Assistant Commissioner, PHILEP Terry Clancy, Chief, Clinical Education & Licensure Candace Gardner, Manager, Clinical Education & Licensure Tami Roach, OLRC

SENT VIA REGULAR U.S. MAIL AND CERTIFIED MAIL # RETURN RECEIPT REQUESTED